

PTO/SB/21 (05-03)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number

10/622,010

Filing Date

July 16, 2003

First Named Inventor

Joseph Monforte

Group Art Unit

1743

Examiner Name

Unassigned

Total Number of Pages in This Submission

Attorney Docket Number

47-030010US

ENCLOSURES (check all that apply)☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment / Response

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Response to Missing Parts/
Incomplete Application☐Response to Missing
Parts under 37 CFR
1.52 or 1.53☐Assignment Papers
(for an Application)☐

Drawing(s)

☐

Licensing-related Papers

☐Petition Routing Slip (PTO/SB/69)
and Accompanying Petition☐Petition to Convert to a
Provisional Application☐Power of Attorney, Revocation
Change of Correspondence
Address☐

Terminal Disclaimer

☐

Small Entity Statement

☐

Request for Refund

☐After Allowance Communication
to Group☐Appeal Communication to Board
of Appeals and Interferences☐Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Additional Enclosure(s)
(please identify below):Cited References and receipt
acknowledgment postcard
Form 1449**Authorization to Charge Deposit Account**Please charge Deposit Account No. 50-0893 for any additional fees associated with
this paper or during the pendency of this application, including any extensions of time
for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual name

Gwynedd Warren, Reg. No. 45,200, Quine Intellectual Property Law Group, P.C.

Signature

Date

12/1/03

CERTIFICATE OF MAILINGI hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope
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Typed or printed name

Evelyn Gomez

Signature

Date

12-1-2003



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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

Evelyn Gomez

Attorney Docket No. 47-030010US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joseph Monforte, et al.

Application No.: 10/622,010

Filed: July 16, 2003

For: STRATEGIES FOR GENE
EXPRESSION ANALYSIS

Examiner: Unassigned

Art Unit: 1743

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Gwynedd Warren", with a stylized flourish at the end.

Gwynedd Warren
Reg. No. 45,200

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Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Use as many sheets as necessary)

Complete if Known

Application Number	10/622,010
Filing Date	July 16, 2003
First Named Inventor	Joseph Monforte
Group Art Unit	1743
Examiner Name	Unassigned
Attorney Docket Number	47-030010US
Date Submitted	December 1, 2003

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			
	1	5,143,854		Pirrung et al.	09-01-1992	
	2	5,807,522		Brown et al.	09-15-1998	
	3	5,545,522		Van Gelder et al.	08-13-1996	
	4	5,595,895		Miki et al.	01-21-1997	
	5	5,962,271		Chenchik et al.	10-05-1999	
	6	6,087,102		Chenchik et al.	07-11-2000	
	7	6,251,639		Kurn	06-26-2001	
	8	6,287,768		Chenchik et al.	09-11-2001	
	9	6,489,159		Chenchik et al.	12-03-2002	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	10	WO	99/35289		Clontech Laboratories, Inc.	07-15-1999		
	11	WO	01/55454		Genetrace Systems, Inc.	08-02-2001		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	12	EBERWINE, Amplifications of mRNA Populations Using aRNA Generated from Immobilized Oligo (dT)-T7 Primed cDNA, Biotechniques 20: 584-591 (1996).	
	13	FODOR et al., Light-Directed Spatially Addressable Parallel Chemical Synthesis, Science 251:767-773 (1991).	
	14	PUSKAS et al., RNA Amplification Results in Reproducible Microarray Data with Slight Ratio Bias, Biotechniques 32:6; 1330-1334 (2002).	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449A-B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>	Complete if Known	
	Application Number	10/622,010
	Filing Date	July 16, 2003
	First Named Inventor	Joseph Monforte
	Group Art Unit	1743
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	Attorney Docket Number	47-030010US
	Date Submitted	December 1, 2003

15	SCHENA et al., Quantitative Monitoring of Gene Expression Patterns with a Complementary DNA Microarray, Science 270:467-470 (1995).	
16	THOMAS et al., Identification of Toxicologically Predictive Gene Sets Using cDNA Microarrays, Molecular Pharmacology 60: 1189-1194 (2001).	
17	VAN'T VEER et.al., Gene Expression Profiling Predicts Clinical Outcome of Breast Cancer, Nature 415: 530-536 (2002).	
18	VAN GELDER et al., Amplified RNA Synthesized from Limited Quantities of Heterogeneous cDNA, Proc. Natl. Acad. Sci. USA 87:5; 1663-1667 (1990).	

Examiner Signature		Date Considered	
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